Patent

UNITED STATES PATENT AND TRADEMARK OFFICE Re: Information Disclosure Applicants: Graeme John Proudler, Statement

et al.

Group: 2151

Serial No.: 09/913,453

Examiner: not yet assigned

Filed: August 14, 2001

Our Ref: B-4276PCT 619003-1

For: "COMMUNICATIONS BETWEEN MODULES)

) Date: April 15, 2002 OF A COMPUTING APPARATUS"

RECEIVED

Hon. Commissioner of Patents and Trademarks Washington, D.C. 20231

APR 2 2 2002

Sir:

Technology Center 2100

In accordance with the Applicants' duty to disclose information which may be material to the examination of this application, the undersigned respectfully requests that the Examiner consider on the merits the documents listed on the enclosed Form PTO-1449 (modified) before issuing the first Office Action on the merits. We are enclosing herewith a copy of each document listed on the enclosed Form PTO-1449 (modified).

The filing of this Information Disclosure Statement (IDS) shall not be construed as a representation that a search has been made (37 C.F.R. 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The Applicants believe that this IDS is being submitted before the issuance of a first Office Action on the merits and before the issuance of a Final Rejection or Notice of Allowance. Therefore, no official fees should be due; and this IDS should be considered on the merits. If this IDS is being submitted after the issuance of the first Office Action on the merits and before the issuance of a Final Rejection or Notice of Allowance, then the Commissioner is authorized to charge Deposit Account No. 12-0415 \$180.00 (or any other required amount), which is the fee set forth in 37 C.F.R. § 1.97(c); and this IDS should be fully considered on the

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merits, in accordance with 37 C.F.R. § 1.97(d). If this IDS is being submitted after the issuance of a Final Rejection or Notice of Allowance, then the Commissioner is not authorized to charge \$180.00 to Deposit Account No. 12-0415.

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. (Notice of January 9, 1992, 1135 O.G. 13-25, at 25.)

The person making this statement is the practitioner who signs below on the basis of information supplied by an individual associated with the filing and prosecution of this application (37 C.F.R. § 1.56(c)) and on the basis of information in the practitioner's file.

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to the "Hon. Commissioner of Patents and Trademarks, Washington, D.C. 20231",

on April 15, 2002 by Suzanne Johnston.

Respectfully submitted,

Richard P. Berg Attorney for Applicant Reg. No. 28,145

LADAS & PARRY 5670 Wilshire Boulevard Suite 2100 Los Angeles, CA 90036 (323) 934-2300

Enclosures: Form PTO-1449 (modified) (2 pages)

Copy of documents listed on Form PTO-1449 (modified)

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Form PTO-1449 (Modified)	ATTY DOCKET NO. B-4276PCT 619003-1	U.S. SERIAL NO. 09/913,453	
LIST OF PATENTS AND PUBLICATIONS	APPLICANTS Graeme John Proudler, et al.		
STATEMENT	FILING DATE August 14, 2001	group 2151	

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	ISSUE DATE	NAME	CLASS	SUBCLASS	FILING DATE or 102(e) DATE IF APPROPRIATE
		5,421,006	5/95	Jablon et al.	395	575	
	11	6,330,670 B1	12/01	England et al.	713	2	1/8/99

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO
94/01821	7/93	WO			
98/36517	8/98	WO			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Anderson, R. and Markus Kuhn, "Tamper Resistance - a Cautionary Note," 16 pages, located at Internet address www.cl.cam.ac.uk/~mgk25/tamper.html (1996).
Berger, J.L., et al., "Compartmented Mode Workstation: Prototype Highlights," <i>IEEE Transactions on Software Engineering</i> , Vol. 16, No. 6, pp. 608-618 (June 1990).
Intel, "Wired for Management Baseline specification v2.0," Boot Integrity Services Application Programming Interface Version 1.0, 64 pages (December 28, 1998).
"Information technology-Security techniques-Entity Authentication - Part 3: Mechanisms using digital signature techniques," ISO/IEC 9798-3, 6 pages (1998).
"Information technology-Security techniques-Key management - Part 3: Mechanisms using asymmetric techniques," ISO/IEC 11770-3, pp. 1-23 and Annexes A-E (1999).
The Trusted Computing Platform Alliance, "Building a Foundation of Trust in the PC,", 9 pages, located at Internet address www.trustedpc.org/home/home.html (January 2000).

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Trusted Computing Platform Alliance, Main Specification Version 1.0, 284 pages (January 25, 2001).
Wiseman, S., et al, "The Trusted Path between SMITE and the User," IEEE Proceedings of the Symposium on Security and Privacy, pp. 147-155 (1988).

EXAMINER	DATE CONSIDERED
<u> </u>	
1	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.